### SERIAL NO. 10/670.836

Date

DOCKET NO. AUS920030791US1

DATE: September 19, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or electronically transmitted to the U.S. Patent and Trademark Office on the date shown

/Leslie A. Van Leeuwen, Reg. No. 42,196/ 9/19/2006 Leslie A. Van Leeuwen, Reg. No. 42,196

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Aguilar, et al.

System and Method for Processor Dedicated Code Handling in a Multi-Processor Environment

### Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 2 references:

### 37 CFR § 1.97 is satisfied by the following:

- This IDS is being filed pursuant to CFR § 1.97(b), therefore no fee as set forth in § X 1.17(p) is believed to be due. In addition, if an Office Action has been mailed, then this IDS is being filed pursuant to CFR § 1.97(c) as, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- The Commissioner is hereby authorized to charge payment of the following fees X associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
  - X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Attorney for Applicant Telephone: (512) 301-6738 Facsimile: (512) 301-6742

Washington, D.C. 20231

DOCKET NO. AUS920030791US1 DATE: September 19, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Group Art Unit:

Aguilar, et. al. 8 §

Serial No.: 10/670,836 Filed: September 25, 2003

Š Title: System and Method for § Intellectual Property Law Dept.

Processor Dedicated Code 8 11400 Burnet Road Handling in a Multi-Processor 8 Austin, Texas 78758

Environment

### INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Van Leeuwen & Van Leeuwen Attorneys for Applicant Telephone: (512) 301-6738

Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Serial No. 10/670,836 Applicant: Aguilar, et. al. Filing Date: 9/25/2003

Group:

Atty. Docket No. AUS920030791US1

### U. S. PATENT DOCUMENTS

| Examiner<br><u>Initial</u> |    | Document<br>Number | Date    | Name            | Classification<br>Class / Subclass | Filing Date if<br>Appropriate |
|----------------------------|----|--------------------|---------|-----------------|------------------------------------|-------------------------------|
|                            | AA | 2003/0074650       | 04-2003 | Akgul et al.    | 717/129                            |                               |
|                            | AB | 6,480,818          | 11-2002 | Alverson et al. | 703/26                             |                               |

### FOREIGN PATENT DOCUMENTS

Examiner Document Translation Initial Number Date Country Classification Yes No

Examiner Initial

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner: Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## **Electronic Acknowledgement Receipt** FES ID: 1207412 Application Number: 10670836 Confirmation Number: 8258 System and method for processor dedicated code handling in a Title of Invention: multi-processor environment First Named Inventor: Maximino Aquilar Customer Number: 40412 Filer: Leslie A. Van Leeuwen Filer Authorized By: Attorney Docket Number: AUS920030791US1 Receipt Date: 19-SEP-2006 Filing Date: 25-SEP-2003 Time Stamp: 10:12:11 Application Type: Utility International Application Number: Payment information:

### File Listing:

Submitted with Payment

| Document<br>Number | Document Description                         | File Name                           | File Size(Bytes) | Multi<br>Part | Pages |
|--------------------|--|-------------------------------------|------------------|---------------|-------|
| 1                  | Information Disclosure Statement (IDS) Filed | AUS920030791US1-IDSSep<br>t2006.pdf | 92942            | no            | 3     |

no

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Total Files Size (in bytes):

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in the course.

### SERIAL NO. 10/670,836

DOCKET NO. AUS920030791US1

DATE: October 13, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing or Transmission

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 /Leslie A. Van Leeuwen, Reg. No. 42,196/
 10/13/2006

 Leslie A. Van Leeuwen, Reg. No. 42,196
 Date

Sir:

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Inventors: Aguilar, et al.

For: System and Method for Processor Dedicated Code Handling in a Multi-Processor Environment

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  - X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Attorney for Applicant Telephone: (512) 301-6738 Facsimile: (512) 301-6742

### SERIAL NO. 10/670.836

DOCKET NO. AUS920030791US1

DATE: October 13, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: § Group Art Unit:

Aguilar, et. al. §
rial No.: 10/670,836 §

Serial No.: 10/670,836 Filed: September 25, 2003

Filed: September 25, 2003 §
Title: System and Method for § Intellectual Property Law Dept.

Processor Dedicated Code \$ 11400 Burnet Road Handling in a Multi-Processor \$ Austin, Texas 78758

Environment

### INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

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By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Van Leeuwen & Van Leeuwen Attomeys for Applicant Telephone: (512) 301-6738

Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Serial No. 10/670,836 Applicant: Aguilar, et. al. Filing Date: 9/25/2003

Group:

Atty. Docket No. AUS920030791US1

### U. S. PATENT DOCUMENTS Examiner Document Classification Filing Date if Initial Number Date Name Class / Subclass Appropriate AA 4,787,057 11-1998 Hammond 708/607 AB 5,548,798 08-1996 King 710/68 AC AD FOREIGN PATENT DOCUMENTS Examiner Document Translation Initial Classification Yes No Number Date Country Examiner OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Initial

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered:

Examiner:

## **Electronic Acknowledgement Receipt** FES ID: 1253244 Application Number: 10670836 Confirmation Number: 8258 System and method for processor dedicated code handling in a Title of Invention: multi-processor environment First Named Inventor: Maximino Aquilar Customer Number: 40412 Filer: Leslie A. Van Leeuwen Filer Authorized By: Attorney Docket Number: AUS920030791US1 Receipt Date: 13-OCT-2006 Filing Date: 25-SEP-2003 Time Stamp: 18:21:49 Application Type: Utility International Application Number: Payment information:

### File Listing:

Submitted with Payment

| Document<br>Number | Document Description                         | File Name                          | File Size(Bytes) | Multi<br>Part | Pages |
|--------------------|--|------------------------------------|------------------|---------------|-------|
| 1                  | Information Disclosure Statement (IDS) Filed | AUS920030791US1-IDSOct<br>2006.pdf | 93014            | no            | 3     |

no

| Warnings:                                       |
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Total Files Size (in bytes):

93014

characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

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### SERIAL NO. 10/670,836

DOCKET NO. AUS920030791US1

DATE: January 4, 2007

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing or Transmission

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 /Leslie A. Van Leeuwen, Reg. No. 42,196/
 1/4/2007

 Leslie A. Van Leeuwen, Reg. No. 42,196
 Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Aguilar, et al.

For: System and Method for Processor Dedicated Code Handling in a Multi-Processor Environment

### Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 8 references;
- X copies of any non-U.S. Patent references listed on form 1449.

### 37 CFR § 1.97 is satisfied by the following:

- \_X This IDS is being filed pursuant to CFR § 1.97(b), therefore no fee as set forth in § 1.17(p) is believed to be due. In addition, if an Office Action has been mailed, then this IDS is being filed pursuant to CFR § 1.97(c) as, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
  - X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted.

By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Attorney for Applicant Telephone: (512) 301-6738 Facsimile: (512) 301-6742

### SERIAL NO. 10/670.836

DOCKET NO. AUS920030791US1

DATE: January 4, 2007

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: § Group Art Unit:

Aguilar, et. al. § ial No.: 10/670,836 §

Serial No.: 10/670,836 Filed: September 25, 2003

Filed: September 25, 2003 §
Title: System and Method for § Intellectual Property Law Dept.

Processor Dedicated Code \$ 11400 Burnet Road Handling in a Multi-Processor \$ Austin, Texas 78758

Environment

### INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

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Respectfully submitted,

By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Van Leeuwen & Van Leeuwen Attomeys for Applicant Telephone: (512) 301-6738

Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

Serial No. 10/670,836 Applicant: Aguilar Filing Date: 9/25/2003

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Group: Atty. Docket No. AUS920030791US1

# U. S. PATENT DOCUMENTS

| Examiner<br>Initial | Docum<br>Numb |                    | Name           | Classification<br>Class / Subclass | Filing Date if<br>Appropriate |
|---------------------|---------------|--------------------|----------------|------------------------------------|-------------------------------|
|                     | AA            |                    |                |                                    |                               |
|                     | AB            |                    |                |                                    |                               |
|                     | AC            |                    |                |                                    |                               |
|                     | AD            |                    |                |                                    |                               |
|                     | AE            |                    |                |                                    |                               |
|                     | AF            |                    |                |                                    |                               |
|                     | AG            |                    |                |                                    |                               |
|                     |               | FOREIGN P          | ATENT DOCUMENT | <u>rs</u>                          |                               |
| Examiner<br>Initial |               | cument<br>amber Da | te Country     | Classification                     | Translation<br>Yes No         |

| Examiner       |    | Document      | TD .        |         | Of 10 11              | Translation   |
|----------------|----|---------------|-------------|---------|-----------------------|---------------|
| <u>Initial</u> |    | <u>Number</u> | <u>Date</u> | Country | <u>Classification</u> | Yes No        |
|                | AH | JP2002-366534 | 12-2002     | Japan   | G06F 15/177           | abstract only |
|                | ΑI | JP05-242057   | 09-1993     | Japan   | G06F 15/16            | abstract only |
|                | AJ | JP10-269165   | 10-1998     | Japan   | G06F 13/16            | abstract only |
|                | AK | JP08-095757   | 04-1996     | Japan   | G06F 9/06             | abstract only |
|                | AL | JP2002-342165 | 11-2002     | Japan   | G06F 12/14            | abstract only |
|                | AM | JP2003-140912 | 05-2003     | Japan   | G06F 9/54             | abstract only |
|                | AN | JP08-147171   | 06-1996     | Japan   | G06F 9/445            | abstract only |
|                | AO | JP06-044110   | 02-1994     | Japan   | G06F 12/00            | abstract only |
|                |    |               |             |         |                       |               |

Examiner Initial

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner: | Date Considered: |
|-----------|------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Electronic Acl                       | Electronic Acknowledgement Receipt   |  |  |  |  |  |
|--------------------------------------|--|--|--|--|--|--|
| EFS ID:                              | 1415438  |  |  |  |  |  |
| Application Number:                  | 10670836   |  |  |  |  |  |
| International Application Number:    |  |  |  |  |  |  |
| Confirmation Number:                 | 8258   |  |  |  |  |  |
| Title of Invention:                  | System and method for processor dedicated code handling in a multi-processor environment |  |  |  |  |  |
| First Named Inventor/Applicant Name: | Maximino Aguilar   |  |  |  |  |  |
| Customer Number:                     | 40412  |  |  |  |  |  |
| Filer:                               | Leslie A. Van Leeuwen  |  |  |  |  |  |
| Filer Authorized By:                 |  |  |  |  |  |  |
| Attorney Docket Number:              | AUS920030791US1  |  |  |  |  |  |
| Receipt Date:                        | 04-JAN-2007  |  |  |  |  |  |
| Filing Date:                         | 25-SEP-2003  |  |  |  |  |  |
| Time Stamp:                          | 11:16:29   |  |  |  |  |  |
| Application Type:                    | Utility  |  |  |  |  |  |
| Payment information:                 |  |  |  |  |  |  |

| Submitted with Payment | no |
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### File Listing:

|                    | •  |   |                  |                     |                     |
|--------------------|--|---|------------------|---------------------|---------------------|
| Document<br>Number | Document Description                         | File Name                                   | File Size(Bytes) | Multi<br>Part /.zip | Pages<br>(if appl.) |
| 1                  | Information Disclosure Statement (IDS) Filed | AUS920030791US1_IDSPT<br>O1449_01-04-07.pdf | 93974            | no                  | 3                   |
| Warnings:          |  |   |                  |                     |                     |

| Information:   |                                  |                              |        |        |   |  |  |  |  |
|----------------|----------------------------------|------------------------------|--------|--------|---|--|--|--|--|
| This is not an | USPTO supplied IDS fillable form |                              |        |        |   |  |  |  |  |
| 2              | Foreign Reference                | JP05-242057_abs.pdf          | 124834 | no     | 1 |  |  |  |  |
| Warnings:      | Warnings:                        |                              |        |        |   |  |  |  |  |
| Information    | :                                |                              |        |        |   |  |  |  |  |
| 3              | Foreign Reference                | JP06-044110_abs.pdf          | 95711  | no     | 1 |  |  |  |  |
| Warnings:      | <del>-</del>                     | _                            |        |        |   |  |  |  |  |
| Information    | :                                |                              |        |        |   |  |  |  |  |
| 4              | Foreign Reference                | JP08-095757_abs.pdf          | 182778 | no     | 2 |  |  |  |  |
| Warnings:      |                                  |                              |        |        |   |  |  |  |  |
| Information    | :                                |                              |        |        |   |  |  |  |  |
| 5              | Foreign Reference                | JP08-147171_abs.pdf          | 128669 | no     | 1 |  |  |  |  |
| Warnings:      |                                  |                              |        |        |   |  |  |  |  |
| Information    | 1                                |                              |        |        |   |  |  |  |  |
| 6              | Foreign Reference                | JP10-269165_abs.pdf          | 132156 | no     | 2 |  |  |  |  |
| Warnings:      |                                  |                              |        |        |   |  |  |  |  |
| Information    | :                                |                              |        |        |   |  |  |  |  |
| 7              | Foreign Reference                | JP2002-0342165_abs.pdf       | 116061 | no     | 1 |  |  |  |  |
| Warnings:      |                                  |                              |        |        |   |  |  |  |  |
| Information    | :                                |                              |        |        |   |  |  |  |  |
| 8              | Foreign Reference                | JP2002-366534_abs.pdf        | 142000 | no     | 2 |  |  |  |  |
| Warnings:      |                                  |                              |        |        |   |  |  |  |  |
| Information    | :                                |                              |        |        |   |  |  |  |  |
| 9              | Foreign Reference                | JP2003-140912_abs.pdf        | 103518 | no     | 1 |  |  |  |  |
| Warnings:      |                                  |                              |        |        |   |  |  |  |  |
| Information    | Information:                     |                              |        |        |   |  |  |  |  |
|                |                                  | Total Files Size (in bytes): | 1:     | 119701 |   |  |  |  |  |
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